



Financial Industry Regulatory Authority

April 18, 2011

Mr. Laurent La Brie
5 Pleasant Ridge Drive #205
Owings Mills, MD 21117

RE: FINRA File # 20110271707

Dear Mr. La Brie:

Thank you for your recent correspondence regarding TD Ameritrade, Inc.

Please be advised that the matter is already being reviewed by the U.S. Securities and Exchange Commission (SEC), under file number **HO-1340821**. In order to avoid duplicating the SEC's efforts, FINRA will not open an investigation of this matter, from a regulatory standpoint. If you have questions regarding the status of the SEC's review, please contact the regulator directly at (800) SEC-0330 or via www.sec.gov.

If you feel that you are entitled to any monetary relief as a result of your dispute regarding your investments, you may file a claim through the courts, an arbitration or mediation facility. FINRA Dispute Resolution is one of several organizations that offer a forum for arbitrating and mediating disputes involving securities-related activities. You may obtain further details by visiting our website at www.finra.org or communicating directly with the following:

FINRA Dispute Resolution
One Liberty Plaza
165 Broadway, 27th Floor
New York, NY 10006
(212) 858-4200

If you have additional questions, please feel free to contact me at (240) 386-6439.

Sincerely,

A handwritten signature in black ink that reads "Bo Kim". The signature is written in a cursive, slightly slanted style.

Bo Kim
Principal Analyst
FINRA – Central Review Group
Investor Complaint Center