

March 15, 2012

VIA EMAIL to FL-MAIN@finra.org

Ms. Lisa D. Lasher
Senior Case Administrator
Financial Industry Regulatory Authority
Boca Center Tower 1
5200 Town Center Circle, Suite 200
Boca Raton, Florida 33486

Re: La Brie v. TD Ameritrade, et al.
Arbitration Number 11-03725
Supplement to La Brie's Motion to Compel Discovery

Dear Ms. Lasher:

I am writing to supplement my client's Motion to Compel Discovery that was filed on February 27, 2012. Respondent filed a response and a hearing was had concerning our Motion before Mr. McLaren on March 9, 2012. From our hearing, I understand that Mr. McLaren intends to issue a written order this week. I would ask that you forward this letter to our Arbitrator.

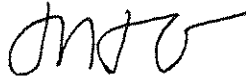
In our Motion to Compel, we specifically addressed Request 8, which concerns the production of telephone records in the possession of Respondent. During the hearing on March 9, Respondent represented that the telephone call logs that have been produced are generally complete and have been acceptable responsive materials in other arbitration matters, though admitting that in some circumstances, the telephone number of the client in contact with Respondent may be missing. Following that hearing, we reviewed the telephone logs provided by Respondent.

The Court will note that in our Statement of Claim, paragraphs 15 and 16, Mr. La Brie called Respondent on December 5, 2008. However, on review of the telephone log provided by Respondent, there is no entry for this date. The absence of this call from the log provided underscores the insufficiency of this log as a response to our reasonable discovery request.

We incorporate by reference all of the discovery requests and argument previously made to the Court in our Motion to Compel and that which was made at the hearing on March 9, 2012. I have placed a copy of this letter in the mail to opposing counsel.

Please contact me with questions concerning the enclosed and thank you in advance for your review.

Sincerely,

A handwritten signature in black ink, appearing to read 'Tim Faith', with a stylized flourish extending to the right.

Tim Faith

Copy: Mr. Laurent La Brie
Ms. Hollie M. Mason, Counsel to Respondent.